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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: James J. Shelton

SERIAL NO.: 09/996,328

GROUP ART UNIT:

FILED: 11/28/2001

EXAMINER:

FOR: "Method and Apparatus For Disinfecting a Refrigerated Water Cooler Reservoir"

ATTORNEY DOCKET NO.: P01252US (98134.6P)

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**INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed is a form PTO/SB/08a. Copies of the foreign references are enclosed.

Applicant respectfully submits that the application is in condition for allowance. A Notice of Allowance is hereby respectfully requested.

Should the Examiner feel that a telephone conference would advance the prosecution of this application, he is encouraged to contact the undersigned at the telephone number listed below.

Applicant respectfully petitions the Commissioner for any extension of time necessary to render this paper timely.

**No fee is required to submit this form.** However, if a fee is necessary, please charge any additional required fees to deposit Account No. 50-0694.

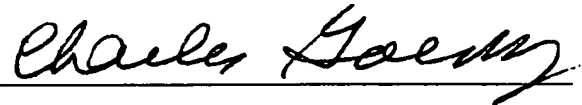
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 , on this 3 day of August, 2004.



PTO/SB/08A (10-01)  
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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet <u>1</u> of <u>4</u>		<b>Complete if Known</b>	
		Application Number	09/996,328
		Filing Date	November 28, 2001
		First Named Inventor	James J. Shelton
		Art Unit	
		Examiner Name	
		Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>3</sup> (if known)			
		US- 1,403,025	01-10-1922	HAASE	
		US- 2,093,619	09-21-1937	POWELL	
		US- 2,947,525	08-02-1960	KLEIN	
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		US- 3,692,180	09-19-1972	LARAUS	
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		US- 3,967,131	06-29-1976	SLIPIEC	
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		US- 4,764,349	08-16-1988	ARFF	
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		US- 5,328,059	07-12-1994	CAMPBELL	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear²	T
		Country Code³ - Number⁴ - Kind Code⁵ (if known)				
		2 022 979 A	12-19-1979	SOLYMAR		
		0 163 750	12-11-1985			
		361,103,595	05-22-1986			
		WO/88/04279	06-16-1988	LARSON		
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		WO 97/42924	11-20-1997	ENGELHARD, ET AL		
		EP O 739 312 B1	10-30-1996	SUTERA		
		WO/00/38815	07-06-2000	Davis		

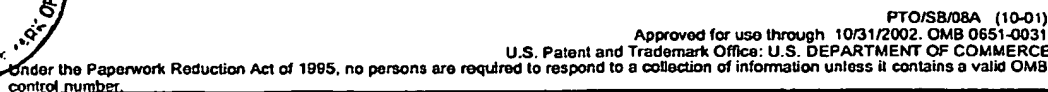
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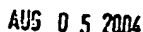


U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		us- 5,366,619	11-22-1994	MATSUI ET AL	
		us- 5,431,861	07-11-1995	NAGAHIRO ET AL.	
		us- 5,503,809	04-02-1996	COATE	
		us- 5,531,908	07-02-1996	MATSUMOTO ET AL	
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		us- 5,587,089	12-24-1996	VOGEL ET AL	
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		us- 5,862,975	01-26-1999	CHILDRESS	
		us- 5,871,701	02-16-1999	LONG	
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		us- 6,289,690	09-18-2001	DAVIS	
		us- 6,361,686	03-26-2002	CONRAD	

Examiner Signature		Date Considered	
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1 Applicant's and not considered. Indicate copy or first with "1" and subsequent copies with "2" through "10".  
 2 Applicant's unique citation designation number (optional).  
 3 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.  
 4 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).  
 5 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
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Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet	3	of	4
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**Complete If Known**

Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

U. S. PATENT DOCUMENTS

**FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Date Considered

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/996,328
		Filing Date	November 28, 2001
		First Named Inventor	James J. Shelton
		Group Art Unit	
		Examiner Name	
Sheet 4 of 4	Attorney Docket Number	P01252US (98134.6P)	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		U.S. Patent Application No. 09/954,849 for "Method and Apparatus for Disinfecting a Water Cooler Reservoir," filed 09-18-2001	
		U.S. Patent Application No. 10/173,133 for "Method and Apparatus for Disinfecting a Refrigerated Water Cooler Reservoir and It's Dispensing Spigot(s)," filed 06-17-2002	
		U.S. Patent Application No. 10/875,078 for "Method and Apparatus for Disinfecting a Refrigerated Water Cooler Reservoir," filed 06-23-2004	

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